



STATEMENT OF ETHICAL VALUES

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1. Statement of Ethical Values

Members of Shinawatra University are committed to the highest ethical standards in furtherance of our mission of teaching, research, and public service. Our policies, procedures, and standards provide guidance for the application of the ethical values stated below in our daily life and work as members of this community.

2. Commitment

2.1 Integrity, we will conduct ourselves with integrity in our dealings with and on behalf of the University.

2.2 Excellence We will conscientiously strive for excellence in our work.

2.3 Accountability We will be accountable as individuals and as members of this community for our ethical conduct and for compliance with applicable laws and University policies and directives.

2.4 Respect We will respect the rights and dignity of others.



3. Standards of Ethical Conduct

Adopted by The Regents of Shinawatra University, June, 2022.

3.1 Purpose

Pursuing Shinawatra University's mission of teaching, research, and public service requires a shared commitment to the core values of the University as well as a commitment to the ethical conduct of all University activities. In that spirit, the Standards of Ethical Conduct are a statement of our belief in ethical, legal, and professional behavior in all of our dealings inside and outside the University.

3.2 Applicability

The Standards of Ethical Conduct apply to all members of the University community, including The Regents, Officers of The Regents, faculty and other academic personnel, staff, students, volunteers, contractors, agents, and others associated with the University. Organizationally, the Standards apply to campuses, the Office of the President, campus organizations, foundations, alumni associations, and support groups.

3.3 Fair Dealing Individual Responsibility and Accountability

Members of the University community are expected to conduct themselves ethically, honestly, and with integrity in all dealings. This means our conduct, both within and outside our community, is guided by fairness, integrity, and respect, consistent with laws, regulations, and University policies. Each situation needs to be examined in accordance with the Standards of Ethical Conduct. Any illegal behavior or behavior inconsistent with these standards cannot be justified by customary practice, expediency, or the achievement of a "higher" purpose.



4. Individual Responsibility and Accountability

Members of the University community are expected to exercise responsibility appropriate to their position and delegated authorities. They are responsible to each other, the University, and the University's stakeholders, both for their actions and their decisions not to act. Each individual is expected to conduct the business of the University in accordance with the Core Values and the Standards of Ethical Conduct, exercising sound judgment and serving the best interests of the institution and the community.

5. Respect for Others

The University commits to treating each community member with respect and dignity. The University prohibits discrimination and harassment and provides equal opportunities for all community members and applicants, regardless of race, color, national origin, religion, sex, gender identity, pregnancy, physical or mental disability, medical condition, ancestry, marital status, age, sexual orientation, citizenship, or status as a covered veteran. Further, romantic or sexual relationships between faculty responsible for academic supervision, evaluation, or instruction and their students are prohibited.

6. Compliance with Applicable Laws and Regulations

Like other enterprises, higher education institutions are subject to various laws and regulations, including those specific to public entities. Members of the University community are expected to become familiar with the laws and regulations bearing on their areas of responsibility. Many, but not all, legal requirements are embodied in university policies. Failure to comply may have serious adverse consequences both for individuals and for the



University in terms of reputation, finances, and the health and safety of the community. University business shall be conducted in accordance with legal requirements, including contractual commitments, by authorized individuals to bind the University to such commitments.

7. Compliance with Applicable University Policies, Procedures and Other Forms of Guidance

University policies and procedures are designed to inform our everyday responsibilities, to set minimum standards, and to give University community members notice of expectations. Members of the University community are expected to transact all University business in conformance with university policies and procedures, and accordingly, have an obligation to become familiar with those that bear on their areas of responsibility. Each member is expected to seek clarification on a policy or other University directive he or she finds to be unclear, outdated, or at odds with university objectives. It is not acceptable to ignore or disobey policies if one is not in agreement with them or to avoid compliance by deliberately seeking loopholes.

In some cases, University employees are also governed by ethical codes or standards of their professions or disciplines - for example, lawyers, auditors, doctors, and consultants. It is expected that those employees will comply with applicable professional standards in addition to laws and regulations.



8. Conflicts of Interest or Commitment

University employees are expected to primarily devote their professional allegiance to the University's mission of teaching, research, and public service. Outside employment must not interfere with university duties. Outside professional activities, personal financial interests, or acceptance of benefits from third parties can create actual or perceived conflicts between the University's mission and an individual's private interests. University community members who have certain professional or financial interests are expected to disclose them in compliance with applicable conflict of interest/conflict of commitment policies. In all matters, community members are expected to take appropriate steps, including consultation if issues are unclear, to avoid both conflicts of interest and the appearance of such conflicts.

9. Ethical Conduct of Research

All University members engaged in research must conduct their activities with integrity and intellectual honesty at all times and with appropriate regard for human and animal subjects. To protect the rights of human subjects, all research involving human subjects is to be reviewed by an Institutional Review Board. Similarly, to protect the welfare of animal subjects, all research involving animal subjects is to be reviewed by the Institutional Animal Care and Use Committee. The University prohibits research misconduct. Members of the University community engaged in research are not to: fabricate data or results; change or knowingly omit data or results to misrepresent results in the research record; or intentionally misappropriate the ideas, writings, research, or findings of others. All those engaged in research are expected to pursue the advancement of knowledge while meeting the highest standards of honesty, accuracy, and objectivity. They are also expected to demonstrate



accountability for sponsors' funds and to comply with specific terms and conditions of contracts and grants.

10. Records: Confidentiality/Privacy and Access

The University holds various types of information, including confidential, proprietary, and private data. Individuals who have access to such information are expected to be familiar with and to comply with applicable laws, University policies, directives, and protocols regarding the access, use, protection, and disclosure of such information. Computer security and privacy are also subject to law and University Policy.

The public right to information access and the individual's right to privacy are both governed by state and national law as well as by university policies and procedures. The legal provisions and the policies are based upon the principle that access to information concerning the conduct of the people's business is a fundamental and necessary right of every person, as is the right to personal privacy.

11. Internal Controls

Internal controls are processes ensuring the University's operations align with these Standards, University policies, applicable laws, and sound business practices. They help to promote efficient operations, accurate financial reporting, protection of assets, and responsible fiscal management. All members of the University community are responsible for internal controls. Each business unit or department head is specifically responsible for ensuring that internal controls are established, properly documented, and maintained for activities within their jurisdiction. Any individual entrusted with funds, including principal



investigators, is responsible for ensuring that adequate internal controls exist over the use and accountability of such funds.

12. Use of University Resources

University resources should be used solely for university activities. They may not be used for private gain or personal purposes except in limited circumstances permitted by existing policy where incidental personal use does not conflict with and is reasonable in relation to university duties (e.g., telephones). Members of the University community are expected to treat University property with care and to adhere to laws, policies, and procedures for the acquisition, use, maintenance, record-keeping, and disposal of university property.

13. Financial Reporting

All University financial documents, including accounting records, tax reports, expense reports, time sheets, and others submitted to government agencies, must be accurate, clear, and complete. All published financial reports will make full, fair, accurate, timely, and understandable disclosures as required under generally accepted accounting principles for government entities, bond covenant agreements, and other requirements. Certain individuals with responsibility for the preparation of financial statements and disclosures, or elements thereof, may be required to make attestations in support of the Standards.

Fuangfa
Signature



(Dr. Fuangfa Ampornstira)

On behalf of the President of Shinawatra University

Date: August 1, 2022